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PTO/SB/05 (03-01)  
Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	424P065
First Inventor	Akira Orii
Title	Embroidering Device Connected to Network and the Network System Thereof
Express Mail Label No.	ER 247803249 US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

- ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
- ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
- ☒ Specification [Total Pages 12]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
- ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 7]
- Oath or Declaration [Total Pages 3]
  - ☒ Newly executed (original or copy)
  - ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
    - ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- ☐ Application Data Sheet. See 37 CFR 1.76

- ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - ☐ Computer Readable Form (CRF)
  - Specification Sequence Listing on:
    - ☐ CD-ROM or CD-R (2 copies); or
    - ☐ paper
  - ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

- ☒ Assignment Papers (cover sheet & document(s))
- ☐ 37 CFR 3.73(b) Statement [Power of Attorney]  
(when there is an assignee)
- ☐ English Translation Document (if applicable)
- ☐ Information Disclosure Statement (IDS)/PTO-1449 [Copies of IDS Citations]
- ☐ Preliminary Amendment
- ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
- ☒ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
- ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
- ☐ Other: \_\_\_\_\_

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_  
Prior application information: Examiner: \_\_\_\_\_ Group Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) or ☒ Correspondence address below

Name	Kevin S. Lemack				
	Niels & Lemack				
Address	176 E. Main Street - Suite 7				
City	Westhoro	State	MA	Zip Code	01581
Country	U.S.A.	Telephone	508-898-1818	Fax	508-898-2020

Name (Print/Type)	Kevin S. Lemack	Registration No. (Attorney/Agent)	32,579
Signature		Date	10/15/2003

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15535 U.S. PTO

10/686338

10/15/03

13142 U.S. PTO 101503

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# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 982.00

## Complete if Known

Application Number  
Filing Date  
First Named Inventor Akira Orii  
Examiner Name  
Art Unit  
Attorney Docket No. 424P065

### METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number 14-0930  
Deposit Account Name Nields & Lemack

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

### FEE CALCULATION

#### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	770
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)					(\$ 770.00)

#### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

		Extra Claims		Fee from below		Fee Paid
Total Claims	<div>7</div>	-20** =	<div>0</div>	x	<div>18</div>	<div>0</div>
Independent Claims	<div>5</div>	-3** =	<div>2</div>	x	<div>86</div>	<div>172</div>
Multiple Dependent					<div>290</div>	<div>0</div>

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1202	18	2202	9	Claims in excess of 20	
1201	86	2201	43	Independent claims in excess of 3	
1203	290	2203	145	Multiple dependent claim, if not paid	
1204	86	2204	43	** Reissue independent claims over original patent	
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					(\$ 172.00)

\*\*or number previously paid, if greater; For Reissues, see above

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330*	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1808	180	1808	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	40
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 40.00

### SUBMITTED BY

Name (Print/Type) Kevin S. Lemack Registration No. 32.579 Telephone 508-898-1818  
Signature Date Oct. 15, 2003

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

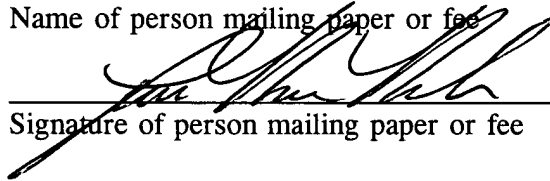
Applicants : Akira Orii et al.  
Serial No. : Not yet assigned  
Filed : Herewith By Express Mail  
For : EMBROIDERING DEVICE CONNECTED TO NETWORK AND  
THE NETWORK SYSTEM THEREOF  
Examiner : Not yet assigned  
Art Unit : Not yet assigned  
Attorney  
Docket No. : 424P065  
Type of paper : Patent Application

"EXPRESS MAIL" MAILING LABEL NO. **ER247803249US**  
DATE OF DEPOSIT: October 15, 2003

I hereby certify that this patent application is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Service under 37 CFR 1.10 on the date indicated above and is addressed to: Commissioner for Patents, P.O. Box 1450, **Mail Stop: Patent Application**, Alexandria, VA 22313-1450.

Ann Marie Mahan

Name of person mailing paper or fee

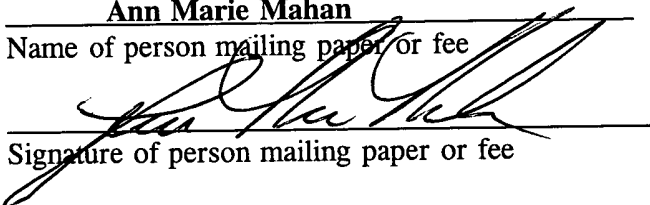
  
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Examiner : Not yet assigned  
Art Unit : Not yet assigned  
Attorney  
Docket No. : 424P065  
Type of paper : Fee Transmittal Form, together with a Check

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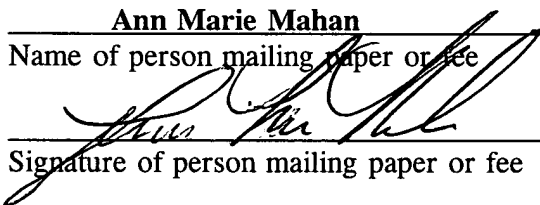
Ann Marie Mahan  
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Signature of person mailing paper or fee

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For : EMBROIDERING DEVICE CONNECTED TO NETWORK AND  
THE NETWORK SYSTEM THEREOF  
Examiner : Not yet assigned  
Art Unit : Not yet assigned  
Attorney  
Docket No. : 424P065  
Type of paper : Utility Patent Application Transmittal Form

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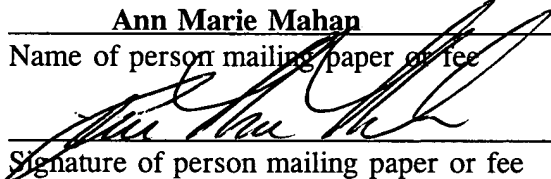
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Applicants : Akira Orii et al.  
Serial No. : Not yet assigned  
Filed : Herewith By Express Mail  
For : EMBROIDERING DEVICE CONNECTED TO NETWORK AND  
THE NETWORK SYSTEM THEREOF  
Examiner : Not yet assigned  
Art Unit : Not yet assigned  
Attorney  
Docket No. : 424P065  
Type of paper : Letter

"EXPRESS MAIL" MAILING LABEL NO. **ER247803249US**  
DATE OF DEPOSIT: October 15, 2003

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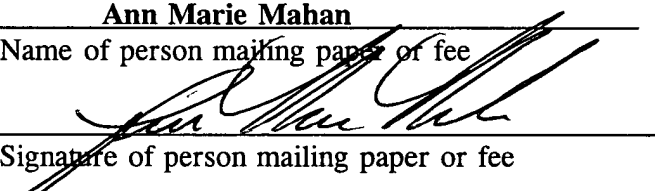
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Applicants : Akira Orii et al.  
Serial No. : Not yet assigned  
Filed : Herewith By Express Mail  
For : EMBROIDERING DEVICE CONNECTED TO NETWORK AND  
THE NETWORK SYSTEM THEREOF  
Examiner : Not yet assigned  
Art Unit : Not yet assigned  
Attorney  
Docket No. : 424P065  
Type of paper : Assignment

"EXPRESS MAIL" MAILING LABEL NO. **ER247803249US**  
DATE OF DEPOSIT: October 15, 2003

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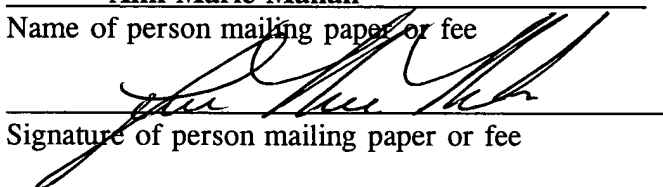
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Applicants : Akira Orii et al.  
Serial No. : Not yet assigned  
Filed : Herewith By Express Mail  
For : EMBROIDERING DEVICE CONNECTED TO NETWORK AND  
THE NETWORK SYSTEM THEREOF  
Examiner : Not yet assigned  
Art Unit : Not yet assigned  
Attorney  
Docket No. : 424P065  
Type of paper : Claim of Priority, together with a Certified Copy of Japanese  
Patent Application No: 2002-311032 filed 10/25/02

"EXPRESS MAIL" MAILING LABEL NO. **ER247803249US**  
DATE OF DEPOSIT: October 15, 2003

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **Akira Orie and Takeshi Kongo**

For: **EMBROIDERING DEVICE CONNECTED TO NETWORK AND THE NETWORK  
SYSTEM THEREOF**

Commissioner for Patents  
P.O. Box 1450  
Mail Stop: Patent Application  
Alexandria, VA 22313-1450  
Sir:


LETTER

The above-identified applicants enclose for filing a patent application entitled "**EMBROIDERING DEVICE CONNECTED TO NETWORK AND THE NETWORK SYSTEM THEREOF**". Said attached patent application includes (1) Patent Application Transmittal Letter (1-Page); (2) Patent Application: 12-Page Specification (including 3-Pages of Claims (7) and 1-Page Abstract), 7-sheets of A4 size formal drawings and an executed Declaration and Power of Attorney (3-Pages); (3) Recordation Form cover sheet (1-Page); Assignment (1-Page); (4) Claim of Priority Transmittal Letter (1-Page), together with a certified copy of Japanese Patent Application No: 2002-311032 filed 10/25/02; and (4) Fee Transmittal Form, together with a check in the amount of \$982.00 in payment of the filing and recording fees.

All correspondence concerning this application should be mailed to:

**Kevin S. Lemack  
Nields & Lemack  
176 E. Main Street  
Westboro, Massachusetts 01581**

Respectfully submitted,

  
Kevin S. Lemack  
Attorney for Applicant  
Registration No. 32,579

Date: October 15, 2003

**EXPRESS MAIL NO: ER247803249US**